

FORM PTO-1390

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY DOCKET NUMBER

IN-5561

U.S. APPLICATION NO. (IF KNOWN SEE 37 RR 15)

10/069814

INTERNATIONAL APPLICATION NO.

PCT/EP00/08211

INTERNATIONAL FILING DATE

23.August 2000 (23.08.2000)

PRIORITY DATE CLAIMED

07. September 1999 (07.09.1999)TITLE OF INVENTION: **MIXED SYSTEM FOR PRODUCING WATER-DILUTABLE COATING AGENTS**APPLICATION(S) FOR DO/EO/US: **Bernhard LETTMANN, Bernd MAYER, Michael GRABBE and Norbert WÜNNEMANN****Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:**

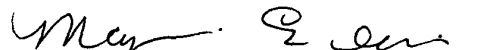
1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371 (b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ have been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(C)(2)).
7. ☒ Amendment to the claims of the International Application under PCT Article 19 (35 U.S.C.371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annex to the International Preliminary Examination Report under PCT Article 36

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included
13. ☒ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A Change of power of attorney and/or address letter.
16. ☒ Other items or information:

A copy of the cover sheet from the PCT Published Application**A copy of the cover sheet from the Priority Document**

I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. **ET973 679 291US** addressed to the Commissioner for Patents, Washington, DC 20231 on February 27, 2002.


Marjorie Ellis

INTERNATIONAL APPLICATION NO.

PCT/ EP00/08211

ATTORNEY'S DOCKET NUMBER

IN-5561

17.	X	The following fees are submitted
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Basic National Fee (37 CFR 1.492(a)(1)-(5)):

Neither international preliminary examination fee (37 CFR 1.482)
Nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO..... **\$970.00**

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO.....	\$890.00
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International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.44(a)(2)) paid to USPTO.....	\$690.00
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International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4).....	\$670.00
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International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)	\$ 96.00
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ENTER APPROPRIATE BASIC FEE AMOUNT =

	\$890.00
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Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

Claims	Number Filed	Number Extra	Rate
Total Claims	12 - 20 =	0	X \$ 18.00
Independent claims	01 - 03 =	0	X \$ 84.00
Multiple dependent claims(s) (if applicable)			+ \$280.00

TOTAL OF ABOVE CALCULATION	=	\$890.00
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Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).	\$
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SUBTOTAL =	\$890.00
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Processing fee of \$130.00 for furnishing the English translation later the [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

TOTAL NATIONAL FEE =	\$890.00
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Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property	+	\$ 40.00
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TOTAL FEES ENCLOSED =	\$930.00
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Amount to be: refunded	\$
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Charged	\$930.00
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- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 23-3425 in the amount of \$930.00 to cover the above fees
A triplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-3425. A triplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

BASF CORPORATION

Patent Department

26701 Telegraph Road

Southfi Id, Michigan 48034-2442

(248) 948-2355

Customer No. 26922

Michael Morgan
SIGNATURE

Michael F. Morgan

Name _____

42.906

REGISTRATION NUMBER

PATENT

(Practitioner's Docket No. IN-5561)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Bernhard LETTMANN et al.

Serial No.: This application is a National
Phase of Patent Application
PCT/EP00/08211 filed 23. August 2000.

Group Art Unit: Not Assigned

Filed: Wednesday, February 27, 2002

Examiner: Not Assigned

For: MIXED SYSTEM FOR PRODUCING WATER-DILUTABLE COATING
AGENTS

Commissioner of Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number **ET973 679 291US**

Date of Deposit **February 27, 2002**

I hereby certify that the following attached paper or fee



German Application PCT/EP00/08211

Specification on	35	pages;	claims on	04	pages;
the abstract on		page(s);	drawings on		page(s).

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Marjorie Ellis

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complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. if the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

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Washington, D.C. 20231EXPRESS MAIL CERTIFICATE"Express Mail" label number ET973 679 291US Date of Deposit February 27, 2002

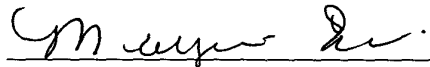
I hereby certify that the following attached paper or fee

<input checked="" type="checkbox"/>	English Application PCT/EP00/08211				
Specification on	<table border="1"><tr><td>42</td></tr></table> pages;	42	claims on	<table border="1"><tr><td>04</td></tr></table> pages;	04
42					
04					
the abstract on	<table border="1"><tr><td>01</td></tr></table> page(s);	01	drawings on	<table border="1"><tr><td></td></tr></table> page(s).	
01					

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☒ Combined Declaration and Power of Attorney

☐ 05 Page(s)

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☒ Cover page of Published PCT-application☐ 01 Page(s)

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JAN 27 FEB 2002

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"Express Mail" label number **ET973 679 291US** Date of Deposit **February 27, 2002**

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☒ **Cover page of Priority Document**☐ **01 Page(s)**

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